

Events

Best Practices for Effective Retirement Plan Management

ATTORNEYS & PROFESSIONALS

David Levine

dlevine@groom.com

202-861-5436

PUBLISHED

05/18/2010

SERVICES

Greenspan Wealth Management, Groom Law Group, Reznick Group and Wells Fargo invite you to attend “*Best Practices for Effective Retirement Plan Management*,” a breakfast briefing on retirement plan governance. Our panel of experts will discuss the latest developments and answer your questions.

WHEN

May 18th, 2010

8:00 a.m. – 10:00 a.m.

Registration and Breakfast 7:30 a.m. – 8:00 a.m.

WHERE

[Loyola College Graduate Center](#)

[2034 Greenspring Drive](#)

[Timonium, MD](#)

AGENDA

Spring Legislative Update

Chad Breunig, Wells Fargo

Although actual regulatory and legislative activity has been slowed by a focus on healthcare and financial reform, new initiatives have just been released that will have an impact on plan sponsors and participants. Wells Fargo Institutional Retirement & Trust [Risk Management] will provide an overview of these changes and the potential impact on retirement plans.

Monitoring Outsourced Recordkeeping And Reporting Functions and How to Establish and Maintain Internal Controls

Daniel Fox, Reznick Group

This session will focus on plan sponsors responsibilities in selecting and monitoring 3rd party service providers and guidance on how to establish effective internal controls for a 401(k) plan.

Maintaining Compliance in Tight Fiscal Times

David Levine, Groom Law Group

In difficult fiscal times, it is often difficult for plan sponsors to devote the resources that would usually be available to focus on plan compliance. This session will provide background on key compliance issues – ranging from plan amendments to IRS filings and also discuss methods of limiting fiduciary risk by implementing a fiduciary structure and process.

Three Steps to Deciphering 401(k) Fees

Joshua Itzoe, Greenspring Wealth Management

401(k) fees have come under intense scrutiny over the past year and become a major hot-button issue from a legislative, regulatory and litigation standpoint. This session will equip attendees with a “best practices” approach to identifying, understanding and negotiating 401(k) fees, thereby improving plan effectiveness and reducing both corporate and personal risk for plan fiduciaries.