

News

David Kaleda Interviewed on the Securities Compliance Podcast

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Securities Compliance Podcast

SERVICES

The Securities Compliance Podcast hosted principal David Kaleda to break down developments surrounding the new Department of Labor exemption regarding fiduciary investment advice and forecast possible outcomes and next steps for financial services industry participants.

The Securities Compliance Podcast, sponsored by the National Society of Compliance Professionals ("NSCP"), highlights financial services industry leaders and innovators, and reconciles their expertise and insights with the practical experience of doers and decision makers.

To listen to the episode, click here.