

Publications

Fiduciary Rule

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SERVICES

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On April 6, 2016, the U.S. Department of Labor made available its much-anticipated final regulation on the definition of “fiduciary” under section 3(21)(a)(ii) of the Employee Retirement Income Security Act of 1974, as amended. The new rule will have a profound impact on the retirement system and how services are provided throughout the industry. Please see the following documents for more information:

[Fiduciary Rule – Executive SummaryDownload](#)

[Fiduciary Rule – Advice DefinitionDownload](#)

[Fiduciary Rule – Best Interest Contract ExemptionDownload](#)

[Fiduciary Rule – Prohibited Transaction Exemption 84-24Download](#)

[Fiduciary Rule – Principal Transactions in Certain AssetsDownload](#)

[Fiduciary Rule – Final Prohibited Transaction Exemptions \(New and Amended\)Download](#)

[2016 Amendments to Existing Prohibited Transaction ExemptionsDownload](#)

[Fiduciary Rule – “Hire Me”Download](#)