

## Events

# Groom Webinar: What You Need to Know Now About DOL's Final Fiduciary Rule & Exemptions (May 1, 2024)

**EVENT START**

May 01, 2024 3:00:00 PM

**EVENT END**

May 01, 2024 4:00:00 PM

**ATTORNEYS & PROFESSIONALS****Jennifer Eller**[jeller@groom.com](mailto:jeller@groom.com)

202-861-6604

**Allison Itami**[aitami@groom.com](mailto:aitami@groom.com)

202-861-0159

**Michael Kreps**[mkreps@groom.com](mailto:mkreps@groom.com)

202-861-5415

**Thomas Roberts**[troberts@groom.com](mailto:troberts@groom.com)

202-861-6616

**George Sepsakos**[gsepsakos@groom.com](mailto:gsepsakos@groom.com)

202-861-0182

**Kevin L. Walsh**[kwalsh@groom.com](mailto:kwalsh@groom.com)

202-861-6645

**PUBLISHED**

05/01/2024

**SERVICES****Employers & Sponsors**

- [Fiduciary & Plan Governance](#)

**Retirement Services**

- [Plan Services & Providers](#)

[Financial Institutions & Advisors](#)

DOL recently released a final rule amending the definition of “investment advice” and amending important prohibited transaction exemptions (“PTEs”). Parts of the new rules take effect on September 23, 2024, and may require substantial operational and compliance changes.

This webinar covered –

- The new definition of “investment advice”;
- Changes to PTE 2020-02, which provides relief for certain transaction-based compensation;
- Changes to PTE 84-24, which provides relief for certain annuity and insurance sales; and
- DOL’s decision to limit the scope of a handful of other exemptions.

To request access to the recording and other program materials, please [click here](#) or below.

[Recording](#)