

Events

NRS 25th Annual Fall Conference

ATTORNEYS & PROFESSIONALS

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SERVICES

Jennifer Eller will participate as a speaker in the National Regulatory Services (NRS) 25th Annual Fall Conference: Best Practices for Successfully Managing Your Compliance Program to Meet the Demands of Increasing Regulation Investment Adviser and Broker-Dealer Compliance. The conference will be held October 4-7, 2010 in Scottsdale, Arizona.

This conference examines regulatory developments and industry best practices for investment adviser and broker-dealer compliance professionals with the goal of enhancing professional competence. Legal, regulatory and industry experts examine existing and new regulatory developments and requirements issued by Federal, State and SRO regulatory agencies, and offer policy and procedures guidance to help compliance professionals build and maintain strong, effective compliance programs.