

Events

Webinar: Third Time's a Charm? DOL Proposes a New Fiduciary Investment Advice Exemption

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Groom Webinar

SERVICES

In this webinar, Groom attorneys will be discussing the Department of Labor's ("DOL's") recently proposed exemption from the prohibited transaction provisions of ERISA, a response to the vacatur of its prior fiduciary rule and a reflection of its desire to harmonize its approach with that of the Securities and Exchange Commission ("SEC").

Groom has long recognized the need for fiduciary harmonization in a practical and workable framework and, as part of our focus on this framework, have engaged with the DOL in recent years providing input on this exemption. As such, a team of Groom fiduciary experts will be providing an in-depth summary of the proposed exemption, its coverage and conditions, and, among other items, its implications.