

GROOM LAW GROUP

12th Annual Employee Benefits Seminar

*Highlighting the latest legislative, regulatory
and judicial developments*



October 24, 2007
Fairmont Hotel, Washington, D.C.

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ATTORNEYS OF GROOM LAW GROUP

CLE CREDITS

GROOM LAW GROUP

EMPLOYEE BENEFITS SEMINAR – October 24, 2007 AGENDA

Program Chair: Jon Breyfogle

9:00 a.m. – 10:15 a.m. Current Fiduciary & DOL Developments

- Qualified Default Investment Alternatives
- DOL Fee Initiatives
- Meals, Entertainment, and Gifts
- LM-10 and LM-30 Update

Presenters: Jon Breyfogle, Moderator
Jim Cole
Ellen Goodwin
Steve Saxon
Andrée St. Martin

10:15 a.m. – 10:30 a.m. Break

10:30 a.m. – 11:30 a.m. IRS Regulation Update

- Section 415 Rules
- Cafeteria Plans
- Tax Deferred Annuity Regulations
- End-of-Year and 401(k) Pension Compliance Issues

Presenters: Lou Mazawey, Moderator
Chris Keller
David Levine
David Powell

11:30 a.m. – 12:30 p.m. Current Trends in Litigation and Enforcement

- Defined Contribution Plan Fee Litigation
- DOL Consultant/Advisor Enforcement Initiatives
- ERISA Remedies in Flux
- Company Stock Developments

Presenters: Tom Gigot, Moderator
Lars Golumbic
Mark Nielsen
Mike Prame
Chris Rillo

12:30 p.m. – 1:30 p.m. Lunch – Washington Legislative Outlook

Introduction: Jon Breyfogle

Presenter: Bill Sweetnam

AFTERNOON BREAKOUT SESSIONS

1:45 p.m. – 2:45 p.m. Executive Compensation Update

- Hot 409A Issues
- Plan Design Trends
- Pending 409A/162m Legislation

Presenters: John McGuiness, Moderator
Jeff Kroh
Brigen Winters

Current Pension Investment Issues

- Hedge Funds and Private Equity
- Self-Managed Accounts
- New Products

Presenters: Roberta Ufford, Moderator
Jenny Eller
Rick Matta

2:45 p.m. – 3:00 p.m. Break

3:00 p.m. – 4:00 p.m. Current Health and Welfare Plan Issues

- Cafeteria Plans
- ERISA Fiduciary Issues
- State Initiatives
- Wellness Program Trends

Presenters: Tom Fitzgerald, Moderator
Matthew Calloway
Chris Keller
Christy Tinnes

Potpourri of Plan Communication Issues

- PPA-Required Notices
- Benefit Statements
- SPDs and SMMs

Presenters: Mark Lofgren, Moderator
Kendall Daines
Liz Dold
Anna Driggs