

Publications

Principal Transactions: Advisers are still fiduciaries under the 5-part test

ATTORNEYS & PROFESSIONALS

David Kaleda

dkaleda@groom.com

202-861-0166

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In the July/August 2018 issue of *PLANADVISER Magazine* David Kaleda, a principal in the firm's Fiduciary & Plan Governance practice, provides an overview of compliance obligations for advisers in connection with principal transactions.

To view the article, please click the link below.

- [Principal Transactions](#)